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Jc970 U.S. PTO

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Jc973 U.S. PTO  
09/903415  
07/11/01

<b>Attorney Docket No.</b>		LIGHT2140		C/M # 2101501-165402	
<b>First Inventor or Application Identifier:</b>		CHI WU			
<b>Title:</b>		Method of Forming an Optical Component			
<b>Express Mail Label No.:</b>		EL617045299US			
<b>Application Elements</b> <small>(See MPEP chapter 600 concerning utility patent application contents)</small>			<b>ADDRESS TO:</b> Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231		
1. <input type="checkbox"/> Fee Transmittal Form <small>(Submit an original, &amp; duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Applicant claims small entity status 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>25</u> ] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>• Descriptive title of the Invention</li> <li>• Cross References to Related Applications</li> <li>• Statement Regarding Fed sponsored R&amp;D</li> <li>• Background of the Invention</li> <li>• Brief Summary of the Invention</li> <li>• Brief Description of the Drawings (if filed)</li> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>13</u> ] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:             <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> <b>ACCOMPANYING APPLICATION PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment (____ pgs.) 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> Express Mail Certification 17. <input type="checkbox"/> OTHER: Check # _____ (\$____)		
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: _____ Prior application information: Examiner: _____ Group/Art Unit: _____					
<b>18. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number (25548) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below					
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Signature				Date	July 2001

**PATENT ATTORNEY DOCKET NO.: LIGHT2140**

The Patent and Trademark Office date stamp sets forth the receipt date of:

**DATE MAILED:** **JULY 2001**

**Applicant or Patentee:**

CHI WU

**Filing Date:** Herewith

**Serial No.:**

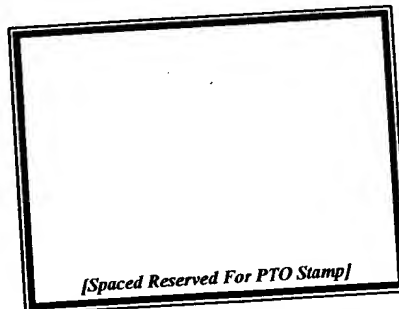
Unknown

**Title:**

METHOD OF FORMING AN OPTICAL COMPONENT

**UTILITY PATENT APPLICATION**

1. Utility Patent Application Transmittal Form (1 pg);
2. Utility Patent Specification (25 pgs);
3. Drawings (13 Sheets);
4. Declaration for Patent Application (3 pgs) (unsigned);
5. Express Mail Certification; and
6. Return Postcard



**Atty/Sec Initials:** TAM/MMT/meg

**Client/Matter No.:** 2101501-165402 **Date Due:** N/A

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**SIGNATURE**